FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL OMB Number: 3235-0287 Estimated average burden hours per response: 0.5

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| 1. Name and Address of Reporting Person [*] Williams Gregg | | | | | 2. Issuer Name and Ticker or Trading Symbol <u>Vivani Medical, Inc.</u> [VANI] | | | | | | | | | tionship of R all applicab Director | | erson(s) f | . , | vner | |
|--|---|--|--|---|---|--|---|--|-----------------------------------|------------------------|--|-----------|-------------------------------------|---|---|---------------------|--|---|--|
| (Last) (First) (Middle) | | | | | 3. Date of Earliest Transaction (Month/Day/Year) 11/07/2022 | | | | | | | | | Officer (g below) | Officer (give title below) | | Other (s below) | specify | |
| C/O 5658 HORTON ST., SUITE 280 | | | | | 4. If Amendment, Date of Original Filed (Month/Day/Year) | | | | | | | | | 6. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person | | | | | |
| (Street) EMERYVILLE CA | | 9 | 94608 | | | | | | | | | | | Form filed by One Reporting Person Form filed by More than One Reporting Person | | | | | |
| (City) | (State) (Zip) | | | | | | | | | | | | | | | | | | |
| | | 1 | able I - Non- | Derivat | ve S | ecuriti | es Aco | quired, l | Disp | oosed of | , or Be | nefic | ially Ow | ned | | | | | |
| Date | | | | | | | 2A. Deemed Execution Date, if any (Month/Day/Year) | | Transaction Dispo Code (Instr. | | ties Acqui I Of (D) (In | | | nd 5) Securities Beneficial Following | | Form | vnership :: Direct (D) direct (I) :. 4) | 7. Nature of Indirect Beneficial Ownership | |
| | | | | | | | | Code | v | Amount | (A) (D) |) or) | Price | Transactio | | | | (Instr. 4) | |
| | | | Table II - De (e. | | | | | , | | sed of, o onvertibl | | | | ed | | | | | |
| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year | 4. Transaction Code (Instr. 8) | | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | | 6. Date Exercisable and Expiration Date (Month/Day/Year) | | | 7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4) | | | 8. Price of Derivative Security (Instr. 5) | 9. Numbe derivative Securities Beneficia Owned Following Reported | e s Illy g | 10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | Beneficial Ownership (Instr. 4) | |
| | | | | Code | v | (A) | (D) | Date Exercisabl | | Expiration Date | Title | | Amount or Number of Shares | | Transaction(s) (Instr. 4) | | | | |
| Common Stock | \$1.77 | 11/07/2022 | | A | | 60,395 | | 11/07/2023 | (2) | 11/07/2032 | Comm | | 60,395 | \$ <mark>0</mark> | 60,395 | (1) | I | Trust | |

Explanation of Responses:

Option

1. Awarded as compensation for serving on The Board of Directors and its committees.

2. Options vest monthly over a one-year period.

/s/ Gregg Williams

Stock

** Signature of Reporting Person

11/09/2022 Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.